



**BY REGISTERED MAIL**

Epiq Bankruptcy Solutions, LLC  
757 Third Avenue, 3rd Floor

New York, NY 10017

**UBS AG**

P.O.Box, / CH-8098 Zurich  
Tel.

Securities Services Corporate Events  
Special Transactions & Default

Jean-Claude Besson  
QQ9C / O5GC - JWJ  
Flurstrasse 62 / FFFE - 020  
Tel. +41 44 235 60 42  
Fax +41 44 235 47 21  
jean-claude.besson@ubs.com

www.ubs.com

## Message

October 23, 2012

subject **Lehman Brothers Holdings Inc. Chapter 11 Case No. 08-13555**

On behalf of

you are receiving

1 Evidence of Transfer of Claim between Hyposwiss Private Bank Ltd, Zurich and UBS  
AG, Zurich dated 18.10.2012

- ☐ for your information
- ☒ for your records
- ☐ as agreed
- ☐ please complete

- ☐ returned with thanks
- ☐ please comment
- ☐ please sign
- ☐ please forward to

- ☐ please return
- ☒ please confirm receipt
- ☒ please process

Remarks

TOCE044 23.10.2012 CHF 25'000.00 2488815 XS0248620899

Transferor: Hyposwiss Private Bank Ltd

Initial Claim Number 40664

Transferee: UBS AG, Bahnhofstrasse 45, CH-8001 Zurich (Switzerland)

Yours sincerely,

UBS AG

Jean-Claude Besson  
Associate Director

Hugo Koller  
Director

**EVIDENCE OF TRANSFER OF CLAIM**


**TO: THE DEBTOR AND THE BANKRUPTCY COURT**


For value received, the adequacy and sufficiency of which are hereby acknowledged, **Hyposwiss Private Bank Ltd** ("Transferor") unconditionally and irrevocably transferred to **UBS AG, Bahnhofstrasse 45, CH-8001 Zurich** ("Transferee") all of its right, title, interest, claims and causes of action in and to or arising under or in connection with the portion of its claim (**Claim No. 40664**) of CHF 25'000.00 in nominal value/units related to the security with International Securities Identification Number listed on Schedule I hereto against Lehman Brothers Holdings, Inc. (the "Debtor"), Chapter 11 Case No.: 08-13555 (JMP) (Jointly Administered), United States Bankruptcy Court for the Southern District of New York (the "Bankruptcy Court").

Transferor hereby waives any objection to the transfer of the claim to Transferee on the books and records of the Debtor and the Bankruptcy Court and hereby waives to the fullest extent permitted by law any notice or right to a hearing as may be imposed by Rule 3001 of the Federal Rules of Bankruptcy Procedure, the Bankruptcy Code, applicable local bankruptcy rules or applicable law. Transferor acknowledges and understands, and hereby stipulates, that an order of the Bankruptcy Court may be entered without further notice to Transferor regarding the transfer of the foregoing claim and recognizing the Transferee as the sole owner and holder of the claim. Transferor further directs the Debtor, the Bankruptcy Court and all other interested parties that all further notices relating to the claim, and all payments or distributions of money or property in respect of claim, shall be delivered or made to the Transferee.

IN WITNESS WHEREOF, this EVIDENCE OF TRANSFER OF CLAIM IS  
EXECUTED ON October 18, 2012 .

Hyposwiss Private Bank Ltd

By:   
Name: **Anina Zürcher**  
Title: **member of management**

By:   
Name: **Oriana Scheuss**  
Title: **Member of Management**

**SCHEDULE I**

**Lehman Programs Securities Related to Transferred Portion of Claim:**

ISIN	Court Claim #	Date Claim Filed	Issuer	Nominal Amount of Claim related to Security
XS0248620899	40664	October 15, 2009	Lehman Brothers Holdings Inc	CHF 25'000.00





**R** Recommandé  
Etranger

**A**  
Priority  
8098 Zürich  
RM 562 707 954 CH

**P.P.**

NOV 02 2012

NOV 02 2012

FILED / RECEIVED  
NOV 05 2012  
EPIQ SYSTEMS

